

Advisors Disciplined Trusts

ADT Product Guide
A Disciplined Approach to Investing





INCOME



BLEND (INCOME & GROWTH)



GROWTH

EQUITY

Global Basic Materials Portfolio (4-Yr)	Portfolio of stocks of foreign and domestic companies in the basic materials sector seeking income and capital appreciation. Invests in equities with consideration that includes, but is not limited to, current dividend yield as well as diversification among global regions and basic materials sub-sectors.
Global Shipping Portfolio (2-Yr)	A portfolio of stocks of foreign and domestic companies involved in aspects of the shipping industry, including dry bulk, container freight, crude oil tankers and product tankers.
Global Water Portfolio (2-Yr)	A portfolio of stocks of foreign and domestic companies involved in aspects of the potable water industry seeking capital appreciation. The stocks will be selected by Eugene E. Peroni, Jr. through the application of his proprietary method of technical analysis and consideration of diversification of the portfolio among global regions.
Greater Asia Portfolio (2-Yr)	Portfolio of stocks of companies in the greater Asia region seeking above average total return, primarily through capital appreciation. Invests in companies that may be headquartered in, have significant operations in, or derive significant revenues from the Asia region which currently include China (including Hong Kong), India, Indonesia, Japan, Russia, Singapore, South Korea and Taiwan.
KLD Global Climate 100 Index Portfolio (2-Yr)	A portfolio of the stocks included in the KLD Global Climate100SM Index ("GC100 Index") seeking above average total return, primarily through capital appreciation. The index includes a mix of 100 global companies representing a range of corporate responses to climate change.
Peroni Growth Portfolio (15-Mo)	A portfolio of 25 stocks selected by Eugene E. Peroni, Jr. through the application of his proprietary method of technical analysis seeking to provide capital appreciation. Commonly referred to as "The Peroni Method," this bottom-up methodology is primarily based on technical analysis which seeks to identify leading stocks and sectors in the market.

EQUITY STRATEGIES (15-MONTH)

High 50 Dividend Strategy Portfolio (15-Mo)	Portfolio of common stocks selected by applying a specialized dividend-oriented strategy seeking above average total return. We begin with companies included in the NYSE, NASDAQ and AMEX Composite Indices, and select the five highest dividend-yielding stocks in each of the ten industry sector components. Screens include, but are not limited to, market cap minimums and companies headquartered in the U.S. The 50 stocks are reset every 15 months.
International High 30 Dividend Portfolio (15-Mo)	Portfolio of foreign companies selected by applying a specialized dividend-oriented strategy seeking above average total return. A total of 30 stocks are chosen from the S&P ADR Index. The portfolio invests in equal amounts of the three highest dividend-yielding stocks in 10 industry sector components.
Monument Growth Strategy Portfolio (15-Mo)	Portfolio of 50 common stocks selected by applying a specialized growth-oriented strategy seeking above average capital appreciation. Screens include, but are not limited to market capitalization, price to sales ratios, positive net profit margins and annual earnings requirements. The 50 stocks are reset every 15 months.
SCORE All Equity Portfolio (15-Mo)	Diversified portfolio investing in three equally-weighted components seeking above average total return. The structure seeks to reduce overall portfolio volatility through diversification of investment strategies. Components include: High 50 Dividend Strategy, International High 30 Dividend Strategy, Monument Growth Strategy. The stocks reset every 15 months.
Strategic Utility & Communications Portfolio (15-Mo)	Portfolio of 40 utility and communications stocks selected by applying a specialized dividend-oriented strategy seeking above average total return. The portfolio is made up of utility and communications stocks. which are reset every 15 months.

ADTs are Unit Investment Trust (UITs). UITs are sold only by prospectus. This is for information purposes only and not a recommendation to purchase or sell any security. You should consider the trust's investment objectives, risks, charges and expenses carefully before investing. Contact your financial professional or call Advisors Asset Management at (866) 606-7220 to request a prospectus, which contains this and other information about the trust. You may also obtain a prospectus at www.AAMportfolios.com or by contacting Advisors Asset Management, 18925 Base Camp Road, STE 203, Monument, CO, 80132. Read it carefully before you invest or send money.

INCOME

Canadian Energy and Income Portfolio (2-Yr)	Portfolio of stocks of Canadian energy income trusts seeking high current income with capital appreciation potential. The trust invests in Canadian energy stocks that are traded on a U.S. exchange and seeks to provide cash flow through the production and sale of underlying crude oil, coal, and natural gas reserves.
Convertible & Income Closed-End Portfolio (2-Yr)	Portfolio of closed-end funds that invest in convertible and other income producing securities seeking high current income with capital appreciation potential and above average total return. Selection methodology includes, but is not limited to, factors such as historical return, income potential, portfolio diversification and advisor experience.
Covered Call & Income Strategy Closed-End Portfolio (2-Yr & 4-Yr)	Portfolio of closed-end funds that primarily invest in covered call option and other income strategies. Covered call closed-end funds that invest primarily in equity and/or income producing securities that seek to provide a covered call writing strategy have traditionally displayed a low correlation to movements of bonds and are generally considered less volatile than pure long-only equity portfolios.
Equity Dividend & Income Closed-End Portfolio (2-Yr)	Portfolio of shares of closed-end funds that invest primarily in equities and/or income-producing securities that seek to provide dividend income that is eligible to qualify for federal income taxation at rates applicable to long-term capital gains. Portfolio seeks high current dividend income with capital appreciation as a secondary objective.
Global Closed-End Portfolio (2-Yr)	Portfolio of closed-end funds that primarily invest in broad range of fixed income securities of foreign and U.S. issuers seeking high current income with capital appreciation potential. Diversified among developed and emerging countries around the world.
GNMA Advantage Income Portfolio	Utilizes a barbell strategy that invests in GNMA's with high and low coupons (5% and 7% respectively), in an effort to reduce reinvestment risk and to help reduce overall volatility.
High Yield Closed-End Portfolio (2-Yr)	Portfolio of high yield closed-end funds that seek to allow investors, willing to assume certain credit and material risks, the potential to earn a higher level of current monthly income than other fixed income investments by investing primarily in high yield securities.
Municipal Closed-End Portfolio (4-Yr)	Portfolio of shares of closed-end funds that invest primarily in municipal bonds seeking income exempt from federal income taxes with capital appreciation potential. A portion of income may be subject to alternative minimum tax.
Preferred Dividend Income Portfolio (2-Yr)	Diversified portfolio comprised of preferred stocks that pay dividends at a fixed rate of return seeking high current income. Preferred stocks often have a yield advantage over common stocks and are typically not as volatile.
Real Estate Closed-End Portfolio (2-Yr)	Portfolio of shares of closed-end funds that invest in real estate-related investments seeking income and capital appreciation. The underlying funds are professionally managed and are diversified across many real estate classes and regions. Additionally, real estate has traditionally fared well in a strengthening economy.
Senior Variable Rate Closed-End Portfolio (4-Yr)	Invests in closed-end funds that invest in a broad range of senior corporate loans that "float" with reference rates such as Prime Rate or LIBOR and generally adjust up or down with changes in interest rates.
State-Specific Municipal Closed-End Portfolios (4-Yr)	Portfolio of shares of closed-end funds that invest primarily in municipal bonds seeking income exempt from federal and state income taxes for residents with capital appreciation potential. A portion of income may be subject to alternative minimum tax. State specific Municipal CE Portfolios currently include: California and New York.
Tactical Income Closed-End Portfolio (2-Yr)	Portfolio of shares of closed-end funds that invest primarily in income producing securities issued by foreign and/or U.S. issuers, seeking high current income with capital appreciation potential.

MULTI ASSET

Balanced Portfolio (2-Yr)	Diversified portfolio primarily consisting of stocks and shares of income-oriented closed-end funds seeking high current income with capital appreciation potential. The trust invests in a diversified portfolio consisting of two equally-weighted components: The High 50 Dividend Strategy and Tactical Income Closed-End Strategy.
Strategic CORE "SCORE" Portfolio (15-Mo)	Diversified portfolio investing in six equally-weighted components seeking above average total return. The structure seeks to reduce overall portfolio volatility through diversification of assets and investment strategies. Components include: High 50 Dividend Strategy, International High 30 Dividend Strategy, Monument Growth Strategy, Global Basic Materials, Preferred Dividend Income, Real Estate Income. The stocks reset every 15 months.

Securities offered through your financial professional.

Risks and Considerations

Unit values will fluctuate with the portfolio of underlying securities and may be worth more or less than the original purchase price at the time of redemption. There is no guarantee that the objective will be achieved. Additionally the trust may terminate earlier than the specified termination date as stated in the prospectus.

An investment in this unmanaged unit investment trust should be made with an understanding of the following:

Bonds: *Interest rate risk is the risk that the value of bonds will fall in value if interest rates increase. Bonds typically fall in value when interest rates rise and rise in value when interest rates fall. Bonds with longer periods before maturity are often more sensitive to interest rate changes. The financial condition of an issuer may worsen or its credit ratings may drop, resulting in the issuer's inability to make interest and/or principal payments in the future or in a reduction in the value of your units. Inflation indexed bonds are likely to fluctuate more than is the case with fixed-rate bonds and will vary as the interest is adjusted for inflation. In addition, a bond issuer might prepay or "call" a bond before its stated maturity at a depressed price.*

Closed-End Funds: *Closed-end funds are actively managed investment companies and are subject to various risks, including management's ability to meet the fund's investment objective, and to manage the fund's portfolio when the underlying securities are redeemed or sold, during periods of market turmoil and as investors' perceptions regarding the funds or their underlying investments change. Closed-end funds frequently trade at a discount to their net asset value in the secondary market.*

Concentration: *Portfolios may be concentrated in particular industry sectors and therefore negative developments in these sectors will affect the trust's value more than would be the case in a more diversified investment.*

Concentration Small and Mid Size Companies: *Risks associated with trusts considered concentrated in securities issues by small and mid size companies present additional risks. Securities issues by small and mid size companies are often more volatile and have lower trading volumes than stocks of larger companies.*

Covered Call Option Strategy Risk: *Risks associated with covered-call writing strategies include the ability of the managers of the underlying funds to predict pertinent market movements, the adverse effects on the value of the options if the market becomes less liquid or smaller, or if the issuers or industries represented are the subject of legislative initiatives or litigation, and the limitations and various risks of options writing. Additionally, the use of options may require a fund in the portfolio to sell securities at inopportune times or for prices other than current market values, may limit the amount of appreciation the fund can realize on an investment, or may cause the fund to hold a security that it might otherwise sell.*

Foreign Securities: *Securities of foreign issuers held by the trust present risks beyond those of U.S. issuers. These risks may include market and political factors related to the issuer's foreign market, international trade conditions, less regulation, smaller or less liquid markets, increased volatility, differing accounting practices and changes in the value of foreign currencies.*

High Yield Securities: *High yield or "junk" bonds should be considered speculative as their ratings indicate a quality of less than investment grade and are subject to greater market fluctuations, risk of loss and are affected by short-term credit developments to a greater degree than higher rated securities.*

Index Correlation: *The trust's performance might not sufficiently correspond with that of its target index. This can happen for reasons such as an inability to replicate the weighting of each stock, the timing of trust rebalancings, index tracking errors, round lot trading requirements, regulatory restrictions, the time that elapses between an index change and a change in the trust, and trust expenses.*

Long-Term Strategy: *Although some unit investment trusts terminate in approximately 15 months, the strategy is long-term. Investors should consider their ability to pursue investing in successive portfolios, if available. There may be tax consequences unless units are purchased in an IRA or other qualified plan. Contact your tax professional to review how an investment trust may effect your particular tax situation.*

Mortgage Backed Securities: *Risks associated with an investment in mortgage-backed securities include the volatility of interest rates and the early return of principal if reinvestment is not practical. Actual returns will vary with the unit price, the reinvestment of principal, how long you hold your investment and with changes in the portfolio, interest income and expenses. While the interest and principal payments are backed by the full faith and credit of the U.S. government, neither the units in the trust nor the market value of the securities are guaranteed.*

Municipal Bonds: *Risks include higher interest rates, economic recession, deterioration of the municipal bond market, possible downgrades, early call provisions, changes to the tax status of the bonds and defaults of interest and/or principal. Additionally, certain distributions paid by some funds may be subject to federal or state income taxes and may be subject to the alternative minimum tax.*

Peroni Method: *The Peroni Method may not identify stocks that will satisfy the trust's investment objective.*

REITs: *Risks involved with owning real estate investment trusts (REITs), include changes in the real estate market, vacancy rates and competition, volatile interest rates or economic recession.*

Senior Loans: *Risks associated with an investment in senior loans include credit and interest rate risk. Because of their structure, the yield on senior loans will generally decline in a falling interest rate environment. Senior loans are generally below investment grade quality and may be unrated at the time of investment; are generally not registered with the Securities and Exchange Commission or state securities commissions; and are generally not listed on any securities exchange. In addition, the amount of public information available on senior loans is generally less extensive than that available for other types of assets.*

Stock: *Risks of owning common or preferred stock include economic recession, volatile interest rates, and the possible deterioration of either the financial condition of the issuers of the equity securities or the general condition of the stock market. Additionally, Preferred securities do not generally have the growth potential of common stocks, are sensitive to changes in interest rates, and their market price generally falls with rising interest rates. Preferred securities are more likely to be called for redemption in a declining interest rate environment, and in the event of an issuer's bankruptcy, preferred securities will not be repaid until the issuer's other debt securities, which have priority, have been satisfied.*

Not FDIC Insured - Not Bank Guaranteed - May Lose Value

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